

05-23-02

RECEIPT

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Box Missing Parts, Washington, D.C. 20231.

Cindy Wilson 5/22/02  
Cindy Wilson

Patent  
Attorney's Docket No. P-011-RC2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	
	)	
Burton G. CHRISTENSEN et al.	)	Group Art Unit: 1627
	)	
Application No.: 10/015,534	)	Examiner: Unassigned
	)	
Filed: December 13, 2001	)	
	)	
For: Methods for Identifying Novel	)	
Multimeric Agents that Modulate	)	
Receptors	)	
	)	

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.

§ 1.53(e) dated March 25, 2002, enclosed please find:

- [X] copy of the Notice to File Missing Parts of Nonprovisional Application;
- [X] a Response to Notice of Missing Parts and Preliminary Amendment;
- [X] a substitute specification in compliance with 37 CFR 1.52;
- [X] substitute drawings in compliance with 37 CFR 1.84;
- [X] a Request for Corrected Official Filing Receipt and a copy of the Filing Receipt with the changes requested marked in red ink;
- [X] an Information Disclosure Statement, PTO-1449 (4 sheets), "IDS Exhibits A-E (copies of



Transmittal Letter for Missing Parts of Application  
Attorney's Docket No. P-011-RC2  
Application No. 10/015,534  
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cited foreign search reports), and 6 documents;

- ☒ Certificate of Mailing by Express Mail; and
- ☒ a postcard receipt.
- ☒ Small entity status is hereby claimed.
- ☒ The filing fee has been calculated as follows and in accordance with the enclosed preliminary amendment:


CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$740.00
Total Claims	5	MINUS 20 =	0	× \$18.00 =	0
Independent Claims	1	MINUS 3 =	0	× \$84.00 =	0
If multiple dependent claims are presented, add \$280.00					0
Total Application Fee					\$740.00
If small entity status is claimed, subtract 50% of Total Application Fee					-370.00
Add Assignment Recording Fee \$ if Assignment document is enclosed					0
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$370.00</b>

Please charge \$ 435 (which includes a \$65 missing parts surcharge and \$370 filing fees summarized in table above) to Deposit Account No. 50-0344 for the fee due for missing parts.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-0344. This paper is submitted in duplicate.

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Respectfully submitted,

By:   
Jeffrey A. Hagenah, Reg. No. 35,175  
Date: May 22, 2002

THERAVANCE, INC.  
(Formerly Advanced Medicine, Inc.)  
901 Gateway Boulevard  
South San Francisco, CA 94080

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,534	12/13/2001	Burton G Christensen	AMI-011-RC2

27038  
ADVANCED MEDICINE, INC.  
901 GATEWAY BOULEVARD  
SOUTH SAN FRANCISCO, CA 94080

CONFIRMATION NO. 7635

## FORMALITIES LETTER



\*OC000000007713008\*

Date Mailed: 03/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

**COPY**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity*
- Total additional claim fee(s) for this application is \$833
  - \$315 for 35 total claims over 20.
  - \$378 for 9 independent claims over 3.
  - \$140 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1268.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom

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margin of at least 1 0 cm (3/8 inch),

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

*JA*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE